

MINNESOTA JOINT UNDERWRITING ASSOCIATION
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APPLICATION FOR
ARCHITECTS AND ENGINEERS PROFESSIONAL LIABILITY INSURANCE

THIS IS AN APPLICATION FOR CLAIMS-MADE INSURANCE.

1. Name and Address for firm:

- _____
- _____
- _____

Agent Name and Address:

- _____
- _____
- _____

2. Firms is a: ___ corporation ___ partnership ___ professional corporation
___ sole proprietorship ___ other _____

3. Number of professional staff, including principals, partners, architects, engineers, inspectors, surveyors, draftsmen, etc: _____

4. Is this firm or any subsidiary or parent organization engaged in:

| Yes | No | |
|-----|-----|---|
| ___ | ___ | construction, fabrication or erection |
| ___ | ___ | real estate development |
| ___ | ___ | construction management |
| ___ | ___ | the manufacture, sale or distribution of any product or process |
| ___ | ___ | design/build |

Please explain any "yes" answers on a separate sheet of paper.

5. Does the firm control or own, or is it controlled or owned by any other firm, corporation or company? ___yes ___no Please explain "yes" answer.

6. Does the firm require certificates of professional liability insurance form all its Consultants? ___yes ___no

7. What were your firm's total billings for professional services, including all fees paid to consultants, and the total construction values of all projects during the past 12 months?

_____ Total billings
_____ Total construction values

8. Indicate the percentage of total billings above derived from professional service contracts solely for feasibility studies, master planning, interior design, reports, opinions, or environmental impact studies.

_____ %

9. Please indicate which of the following disciplines are performed by your firm by showing the percentage of billings for each for the past 12 months. (**Excluding** services performed by consultants.)

| | |
|-------------------------------|------------------------------|
| _____ Architecture | _____ Landscape Architecture |
| _____ Civil Engineering | _____ Soils Engineering |
| _____ Land Surveying | _____ Structural Engineering |
| _____ Construction Management | _____ Mechanical Engineering |
| _____ Other (please specify) | _____ Electrical Engineering |

10. Please indicate the percentage of work performed under the following categories:

| | |
|---|--|
| _____ Foundation Design | _____ Marine Engineering |
| _____ Mining Engineering | _____ Oil/Gas Well Engineering |
| _____ Inspection/Observation of construction where involved in design | _____ Inspection/Observation of construction where NOT involved in design |
| _____ Site Evaluation | _____ Project certification for benefit of any party other than applicant's client |

PROJECTS

| | |
|---|--|
| _____ Airports | _____ Chemical Plants |
| _____ Hospitals | _____ Harbors, piers, ports |
| _____ Utilities | _____ Water systems |
| _____ Manufacturing or industrial bldgs | _____ Material handling/storage system |
| _____ Office buildings | _____ Nuclear/Atomic projects |
| _____ Pipe Lines | _____ Sewage Systems |
| _____ Petrochemical facilities | _____ Shopping Centers |
| _____ Sewage Treatment facilities | _____ Sports and Convention Centers |
| _____ Subdivision/Tract Developments | _____ Religious, charitable or other organizations |

11. Please indicate the percentage of services rendered for each of the following categories. Base responses on the percentage of applicants gross volume derived from each category:

| | |
|-----------------------------|------------------------------------|
| _____ Commercial/Industrial | _____ Contractors |
| _____ Federal Government | _____ Design professional |
| _____ Local Government | _____ Real Estate Developer |
| _____ State Government | _____ Owners acting as own builder |
| _____ Other (specify below) | |

- _____

- _____

- _____

12. Please indicate the percentage of services rendered for the following categories:

_____ *ski lifts, commercial amusement rides or skateboard parks

_____ surveys for subsurface conditions

_____ *work performed for communist block countries

_____ *work performed outside the US, its territories or Canada, other than communist block countries

* For these categories, provide complete description including client, location, construction value, services rendered, and present status.

13. Does the applicant's practice involve any subcontracting of services to others?
___ Yes ___ No If yes, specify services and percentage of overall volume.

14. Are more than 50% of the billings for the past or the next 12 months to be derived from a single client or contract? ___ Yes ___ No If yes, specify client or contract and describe all services to be rendered.

15. Please specify percentage, if any, of billings for the next 12 months expected to be derived from :

A. services for owners of projects who act as their own contractor _____

B. services for package, design/build or turnkey projects _____

If the total of A and B is larger than 50%, please provide full details.

16. Please indicate all professional societies in which you are a member:

- _____

- _____

- _____

17. If nonstandard or modified AIA/NSPE/PEPP contracts are used, are they reviewed by you legal counsel for liability implications prior to signing? Yes No
18. Does the firm ever enter into contracts which contain indemnification or “hold harmless” agreements? Yes No
19. Does the applicant have in-house quality control procedures, and if so, are they in written form? Yes No
20. Does the firm have an in-house program of continuing education for employees? This would include attendance at AIA/NSPE/PEPP sponsored seminars and similar functions. Yes No
21. Please specify the percentage of the firm’s:

Professional services rendered under AIA or NSPE/PEPP standard forms of agreement between owner and architect or engineer _____

Projects ultimately constructed under AIA or NSPE/PEPP standard general conditions of the construction contract _____

Projects incorporating specifications based on or derived from the automated master specifications system known as Masterspec _____

Construction management services, rendered under the unaltered American Institute of Architects B801 Standard form of agreement _____ -

THE FOLLOWING QUESTIONS APPLY ONLY TO NEW APPLICANTS.

22. Has the name of the firm ever changed or has there ever been an acquisition, consolidation, dissolution, merger or change in business organization? Yes No
- If yes, provide full particulars listing each firm named in chronological order and specify the date, name or business organization changed.
23. Have any claims, suits or demands for arbitration been made against the firm, its predecessors or any past or present principal, partner, officer or director? Yes No If yes, on a separate sheet give complete details.
24. Have any of the principals, partners, officers, employees or directors or any predecessors knowledge of any error, omission, unresolved job dispute (including owner-contractor disputes) accident or any other circumstance that is or could be a basis for a claim under the proposed insurance? Yes No If yes, on a separate sheet of paper give complete details.

25. Has any insurer declines, canceled or refused to renew any similar insurance issued to the firm or any of the persons named in question 23? ___Yes ___No If yes, give details.
26. Describe nature of operation. Please attach brochure describing firm.
27. Give full name and professional qualifications of all principals, partners or officers of current firms and dates of employment. (Registrations and degrees, date and place required.) If previously a principal, partner or officer of another firm, indicate firm name and employment dates.
28. On a separate sheet, list your five largest current projects. Please give name of project, location, description, owner, nature of services rendered, and status. (Completed, under construction, proposed, etc.) Also, provide the above information for your 10 largest projects over the last 5 previous years.

PRIOR CARRIER INFORMATION

| Year | Carrier | Policy No. | Limits BI/PD | Annual Premium |
|------|---------|------------|-----------------|-------------------|
| - | | | | |
| - | | | | |
| - | | | | |

The following questions must be answered by all applicants. (Yes answers do not require explanation.)

Does the applicant understand that the insurance being applied for does not cover and will not indemnify the applicant for any liability or loss arising from the emission of any hazardous material or pollutant to the environment, including any responsibility to clean up any release; and does not cover and will not indemnify to application for liability or loss arising out of products made or completed operations performed by the applicant or on the applicant's behalf, including materials, parts, or equipment furnished in connection with such products or operations.

___Yes ___No

I, the undersigned, certify and attest that I have been unable to obtain through ordinary methods, the insurance for which I am applying for with this application and the information contained in this application is true and complete.

___Yes ___No

Signature: _____ Date: _____

